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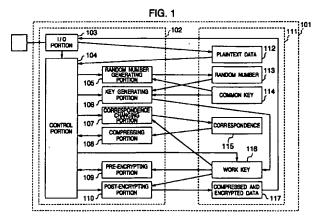
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#### (54) Method and apparatus for encrypting data

(57) In the process of compressing and encrypting data, without increase of a processing time, a cipher capability is secured against the latest cryptanalysis such as differential and linear cryptanalyses. The differential and linear cryptanalyses are executed to collect plural pair of plaintext and cryptosystem for the same key and perform the statistical operation for estimating the key. An I/O process (102) is executed to receive plaintext data (111) and generate a random number (104). Then, an operation is executed to generate a different key for each data on the random number (105)

and set the key to a work key (115). The encrypted intermediate result or the pre-encrypted result (108) is fed back for frequently changing the work key (115). These series of operations makes it possible to protect the ciphertext from the differential and the linear cryptanalyses. On the work key, the changing operation (106) is executed to change correspondence (114) between the plaintext data and the compressed data in the compressing process (107), for providing the compression with the encryption.



### BACKGROUND OF THE INVENTION

The present invention relates to data encryption, 5 and more particularly to the improvements in processing efficiency of encryption and cipher strength to any cryptanalysis. Furthermore, the present invention relates to the encryption involving data compression and more particularly to the improvements in processing efficiency of data compression and encryption and strength to cryptanalysis.

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With increase of the computerized central information of a system and the data communication through a network, importance is now being placed on a technique of encrypting data for keeping the computerized data from being tapped and tampered. As described in pages 27 to 32 of "Introduction to Cryptography Theory" Kyoritu edit., 1993, the encryption is roughly divided into a symmetric key cryptosystem and an asymmetric key cryptosystem. The present invention is intended for the improvement in symmetric cryptosystem which is suitable for encrypting a large amount of data. Later, a secret key cryptosystem is simply called cryptosystem.

At first, the description will be oriented to the basic 25 terms about the cryptosystem. As is described in pages 33 to 59 of the foregoing writing, the cryptosystem is executed to convert plaintext into ciphertext through secret parameters. The decryptosystem is executed to transform the ciphertext into the original plaintext through the effect of reverse transform with the same secret parameters as those used in the cryptosystem. The secret parameters are generally called a crypt-key (or just a key). The encrypting procedure is composed of repetition of one or more kinds of fundamental functions. The repetitive times are called rounds. In applying the encrypting procedure, the input data is divided into parts each of which has the same size and the encrypting procedure is applied to each data part. Each data part is called a crypt-block (or just a block).

In designing and promoting the encryption, an important factor is a defense for various kinds of decrypting methods. The most frequently used decrypting method is an extensive search for keys. In recent days, however, remarks are placed on more efficient differential cryptanalysis and linear cryptanalysis than the extensive search.

In the pages 163 to 166 of the aforementioned writing and the linear cryptanalysis of the DES (Data Encryption Standard) published in "The 1993 Symposium on Cryptography and Information Security", the differential and the linear cryptanalyses utilize the correlation among the plaintext, the ciphertext, and the keys, which are proper to the encrypting system, and is executed to collect lots of inputs and outputs (plaintext and 55 ciphertext) to be encrypted or decrypted by the same key and perform the statistical operation about these inputs and outputs for estimating the key.

The conventional method for defending the differen-

tial or linear cryptanalysis in the conventional encrypting system is executed to reduce the correlation among the plaintext, the ciphertext, and the key by increasing the rounds.

#### SUMMARY OF THE INVENTION

The processing time of encryption or decryption is proportional to the rounds. The defense for the differential and the linear cryptanalyses through the effect of the increase of the rounds entails a large shortcoming, that is, the increase of the processing time. Hence, it is an object of the present invention to improve the processing performance and the security of the cryptosystem by establishing the method for protecting ciphertext from the differential and the linear cryptanalyses without increasing the processing time.

As described above, the differential and the linear cryptanalyses are executed to collect lots of inputs and outputs (plaintext and ciphertext) encrypted and decrypted through the same key and perform a statistical operation about the inputs and outputs for estimating the key. In accordance with a first aspect of the present invention, an information processing method includes the steps of entering or receiving a plaintext and encrypting the plaintext, wherein the method utilizes as a key of a block of the plaintext an intermediate result given in the process of encrypting another block or a value derived on the intermediate result. This method uses a different key to each block depending upon the plaintext data. The present method thus disallows execution of the foregoing statical operation and allows the ciphertext to be protected from the differential and the linear cryptanalyses.

The foregoing first method disables to use the intermediate result given in the process of encrypting another block for the first block of the plaintext to be encrypted. Hence, the key is constant. The first method, therefore, allows the key of the first block to be estimated by collecting the inputs and the outputs of the first block over lots of plaintext and the overall ciphertext to be cryptanalyzed with the estimated key as a clue. In order to overcome this problem, in accordance with a second aspect of the present invention, an information processing method includes the steps of entering or receiving the plaintext and encrypting the plaintext, wherein the method of the second aspect is executed to generate a random number for each plaintext and use the random number as the key of the first block of the plaintext to be encrypted. This second method, therefore, has a different key of the first block to each plaintext and thus enables to overcome the problem of the foregoing first method.

Further, the encryption is often executed in association with data compression. As is described in pages 21 to 247 of "The Data Compression Book" in Japanese Toppan (1994), the compression is executed to replace a bit train of the plaintext with a shorter bit train. A plurality of correspondences are provided between the bit

trains of the block of the plaintext and the compressed data. In accordance with a third aspect of the invention, the information processing method includes the steps of entering or receiving data and compressing the data, wherein the method of the third aspect is executed to determine the correspondence between the bit trains of the block of the plaintext and the compressed data depending upon the intermediate result given in the process of encrypting another block. The third aspect method, therefore, enables to change the correspondence between the bit train of the block of the plaintext and the bit train of the compressed data for each block depending upon the plaintext data. Further, the intermediate result given in the process of encrypting the data cannot be estimated if the key is obtained. It is therefore impossible to grasp how the correspondence between the bit train of the block of the plaintext and the bit train of the compressed data is changed unless the key is obtained. The third aspect method, therefore, enables to use the compression as a kind of cryptosystem, offer the same effect as the increase of the rounds, and thereby prevent the differential and the linear cryptanalyses.

#### BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a block diagram showing a functional configuration according to a first embodiment of the present invention;

Fig. 2 is a flowchart showing an operation of a control process executed in the method and the apparatus according to the first embodiment of the present invention;

Fig. 3 is a diagram showing a Huffman tree indicating correspondence between plaintext data and compressed data used according to the first embodiment of the present invention;

Fig. 4 is a diagram showing a transformation of the Huffman tree used in the method and the apparatus according to the first embodiment of the present invention:

Fig. 5 is a block diagram showing a functional configuration according to a second embodiment of the present invention; and

Fig. 6 is a flowchart showing an operation of a control process executed in the method and the apparatus according to the second embodiment of the present invention.

### DESCRIPTION OF THE PREFERRED EMBODI-MENTS

Two embodiments of the present invention will be described with reference to Figs. 1 to 6.

Fig. 1 is a functional arrangement of the first embodiment of the present invention. A block 101 denotes a completed information processing system. A block 102 is a process implemented by a central processing unit and an input/output (I/O) unit. The block

102 includes an I/O portion 103, a control portion 104, a random number generating portion 105, a key generating portion 106, a correspondence changing portion 107, a compressing portion 108, a pre-encrypting portion 109, and a post-encrypting portion 110. A block 111 is a storage unit such as a RAM or a disk and stores plaintext data 112, random numbers 113, common keys 114, information regarding correspondences 115, work keys 116, and compressed and encrypted data 117.

The I/O portion 103 receives a plaintext data from the outside and puts it in the memory 111. Further, the I/O portion 103 receives a compressing and encrypting instruction and passes it to the control portion 104. On the other hand, the I/O portion 103 reads the compressed and encrypted data 117 from the memory 111 and outputs it to the outside. When the control portion 104 receives the compressing and encrypting instruction from the I/O portion 103, the control portion 104 starts the random number generating portion 105 for generating a random number and then starts the key generating portion 106 for generating a work key. Next, the control portion 104 reads the plaintext data 112 from the memory 111 and iteratively executes the five processes including the compression 108, the pre-encryption 109, the post-encryption 110, the correspondence change 107, and the work key change, thereby compressing and encrypting the plaintext data. The control portion 104 will be discussed below.

In order to implement the random number generating portion 105, it is possible to use the conventional method for generating a random number as is described in pages 61 to 86 of Japanese literature "Introduction to Cryptography Theory", Kyoritu edition (1993). As an example, this method is executed to set a proper initial value to a random number 113 in the memory 111, read the previous random number 113 each time the random number generating portion 105 is started, apply the cryptanalysis to the previous random number 113 inside of the random number generating portion 105, and set the encrypted result as a new random number. Further, the random number 113 in the memory 111 is replaced with a new random number.

The key generating portion 106 is executed to generate the work key 116 from the random number 113 and the common key 114. The work key 116 is executed by the method as described in Institution for Electronic, Information and Communication Engineers, Transactions, Vol. E74, No. 8, pp2153 to 2159.

The correspondence changing portion 107 is executed to change the correspondence 115 between the
bit trains of the plaintext data and the compressed data
on the work key. A specific example of the correspondence depends on a specific compression 108. In this
embodiment, the compressing portion 108 utilizes the
Huffman compression. The correspondence between
the bit trains of the plaintext and the compressed data in
the process of the Huffman compression is represented
by tree-structure data called a Huffman tree. This Huffman tree is changed with the change of the correspond-

ence 107. The correspondence changing portion 107 will be discussed below.

The compressing portion 108 utilizes the Huffman compression as mentioned above. According to the Huffman tree of the correspondence 115, the bit train of the plaintext data is replaced with the bit train of the compressed data for compressing the plaintext data. The Huffman compression is realized by the conventional method as described in pages 21 to 103 of "Data Compression Handbook", Toppan 1994.

The pre-encrypting portion 109 is executed to encrypt the data with the work key 116 as a parameter as described in the pages 33 to 59 of "Introduction to Cipher Theory", Kyouritu, edition., 1993. Like the pre-encrypting portion 109, the post-encrypting portion 110 is executed to encrypt the data with the work key 116 as a parameter by the conventional method.

Fig. 2 shows the detail of the operation of the control portion 104. At a step 201, the random number generating portion 105 is started for generating a random number. At a step 202, the key generating portion 106 is started for generating the work key and then setting the initial value of the work key 116. Then, at a step 203, the control portion 104 reads the plaintext data 112.

At a step 204, when the compressing portion 108 is started, the next symbol of the plaintext data is compressed. Herein, for compressing the plaintext data, the compressing portion 108 is executed to transform the symbol (bit train) of the plaintext data into the compressed bit train according to the correspondence 115. At a step 205, it is determined if more of the compressed data than the block size for cryptanalysis is stored. If so, the operation goes to a step 206. If the compressed data is less than the block size, the operation of the step 204 is repeated.

At a step 206, one block of the compressed data is applied to the pre-encrypting portion 109 for encrypting the block. The pre-encrypting portion 109 uses the work key 116 as a parameter. At a step 207, the result of the pre-encrypting portion 109 is stored. At a step 208, the pre-encrypted result is applied to the post-encrypting portion 110 for encrypting it. Herein, like the pre-encrypting portion 109, the post-encrypting portion 110 uses the work key 116 as a parameter. Then, the additional data of the work key to the compressed and encrypted data is stored as the compressed and encrypted data 117 in the memory 111.

At a step 209, the correspondence 115 between the bit trains of the plaintext data and the compressed data is changed on the pre-encrypted result. At a step 210, the work key 116 is replaced with the pre-encrypted result. Then, at a step 211, it is determined if the overall plaintext data is processed. If yes, the process is terminated. If no, the operation goes to a step 212.

At the step 212, it is determined if a given number of encrypting blocks are processed. If yes, the operation returns to the step 201. If no, the operation returns to the step 204. The reason why the operation returns to the step 201 will be described below. Computer pro-

grams implementing the steps of Fig. 2 may be stored in a recording medium such as a semiconductor memory, a floppy disk or a CD-ROM.

In this embodiment, the intermediate result (preencrypted result) in the process of encrypting one block is made to be a parameter for compressing and encrypting the next block. In decompressing and restoring the compressed and encrypted data that is an output of this embodiment, it is necessary to use the same parameter as that used in compressing and encrypting the data. Hence, the intermediate result given in the process of decrypting one block is required to be set as a parameter for decrypting and decompressing the next block. Hence, if one erroneous bit appears in the compressed and encrypted data while the data is communicated or stored in a file, the intermediate result in the decrypted block containing the erroneous bit is made erroneous. As a result, the parameter for decrypting and decompressing the next block is made erroneous. This error is propagated to the last block of the data.

The improvement in the error correcting technique of the communication and the file storage results in substantially protecting an application layer for which the present invention is intended, from being erroneous. Hence, the error propagation is negligible in any system to which the present invention applies. However, the applied systems may be provided where no error correction is done. If the present invention is applied to such systems, it is necessary to restrict the number of the error propagated blocks.

The foregoing returning operation from the steps 212 to 201 meets with this requirement. That is, if the number of the error propagated blocks reaches a given value, at the steps 201 and 202, the operation is executed to reset the work key to a value that is independent of the intermediate result in the encryption of the previous block, which makes it possible to avoid the error propagation.

Next, with reference to Figs. 3 and 4, the operation of the correspondence changing portion 107 will be described. In the Huffman compression, the correspondence 115 between the bit trains of the plaintext data and the compressed data is represented by the Huffman tree. Fig. 3 shows an example of a Huffman tree. This Huffman tree is a binary tree in which a right and a left branches are spread at each intermediate node. The right and the left branches contain a value of 0 or 1, respectively. The end node represents one symbol of the plaintext data. The connection of the branch values from the end node to the root node represents a bit train of the compressed data for the symbol represented by the end node. For example, the bit train of the compressed data for i is 1000 and the bit train of the compressed data for h is 010.

The correspondence changing portion 107 is started by the control portion 104. The correspondence changing portion 107 is executed to add numbers to the intermediate nodes of the Huffman tree. Specifically, the nodes are numbered in such a manner that a first is

added to the root node, a second and a third are added to a second-stage node from left to right, a fourth and a fifth are added to a third-stage node from left to right, and so forth. That is, the numbering is executed from top to down and from left to right. Then, the values given to the right and the left branches of the intermediate node are replaced with each other according to the work key. Specifically, if the i-th bit of the work key is 1, the values given to the right and the left branches of the i-th intermediate node are replaced with each other. (if it is zero, no replacement is done.)

In Fig. 4, a block 401 indicates a transformation of the Huffman tree shown in Fig. 3 on the assumption that the work key is 1100100... A block 402 indicates a transformation of the Huffman tree shown in the block 401 on the assumption that the work key is 1010110... The work key is assumed to have a sufficiently large number of bits and if any bit of the work key exceeds the intermediate node number of the Huffman tree, the bit is ignored in the correspondence changing portion 107.

The foregoing description is concerned with the first embodiment of the present invention. The conventional encrypting method has been arranged to secure more rounds for preventing the linear and the differential cryptanalyses. This preventing method, however, has a drawback of increasing the processing time. On the other hand, the method of the foregoing embodiment has been arranged to change the work key for each block. This change makes it impossible to perform a statistical operation for estimating the key, thereby keeping the ciphertext data from the differential and the linear cryptanalyses. The work key for each block is an intermediate result given in the process of encrypting the previous block. This method, hence, does not need an extra processing time for changing the work key. As described above, the method of this embodiment enables to prevent the differential and the linear cryptanalyses without any increase of the processing time, thereby improving the cipher performance and the strength capability to the cryptanalysis.

Further, according to the first embodiment, in the compressing process, the correspondence between the plaintext data and the compressed data may be changed for each block depending on the intermediate result given in the process of encrypting the previous block. The intermediate result cannot be estimated unless the key is obtained. It means that the correspondence between the plaintext data and the compressed data is not estimated. The method of this embodiment can use the compression as a kind of encryption. The compression may present the same effect as the increase of the rounds and be used for keepig the ciphertex data from the differential and the linear cryptanalyses.

Fig. 5 shows a functional arrangement of a method according to a second embodiment of the present invention. This is intended for decrypting and decompressing the encrypted data compressed by the method of the first embodiment for obtaining the original plain-

text data. A block 501 denotes a completed information processing system. A block 502 denotes a process implemented by a central processing unit and an I/O unit, which process includes an I/O portion 503, a control portion 504, a random number reading portion 505, a key generating portion 506, a correspondence changing portion 507, a decompressing portion 508, a predecrypting portion 509, and a post-decrypting portion 510. A block 111 denotes a memory realized by a RAM, a disk, or the like. The memory 111 stores compressed and encrypted data 512, a random number 513, a common key 514, a correspondence 515, a work key 516, and plaintext data 517.

The I/O portion 503 is executed to apply the compressed and encrypted data from the outside and store it in a memory 511. At a time, the I/O portion 503 is executed to receive a decrypting and decompressing instruction and pass it to the control portion 504. On the other hand, the I/O portion 503 is also executed to read the plaintext data 517 from the memory 511 and put it to the outside. When the control portion 504 receives the decrypting and decompressing instruction from the I/O portion 503, the control portion 504 is executed to start the random number reading portion 505 and read a random number added to the compressed and encrypted data 512. Then, the control portion 504 is executed to start the key generating portion 511 for generating the work key. Next, the control portion 504 is also executed to read the compressed and encrypted data 512 from the memory 511 and repeat five operations comprised of the pre-decryption 509, the post-decryption 510, the decompression 508, the correspondence change 507, and the change of the work key, to decrypt and decompress the compressed and encrypted data. The control portion 504 will be discussed later in detail.

The random number reading portion 505 is executed to read the random number added to the compressed and encrypted data 512. This random number has been used for generating the work key in the method of the first embodiment.

The key generating portion 506 is executed to generate a work key 516 from the random number 513 and the common key 514. The common key 514 has the same value as the common key 114 used in the first embodiment. Hence, since the random number and the common key are the same as those used in the first embodiment, the work key 516 to be generated by the method of the second embodiment is the same as the work key 116 used in the method of the first embodi-

The correspondence changing portion 507 is executed to change a correspondence 515 between the bit trains of the compressed data and the plaintext data on the basis of the work key. The concrete correspondence depends on the concrete decompression 508. The method of this, second embodiment uses the Huffman decompression for the decompressing portion 508. As described in pages 21 to 103 of "The Data Compression Book" Toppan (1994), the Huffman decompression is a

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reverse transform of the Huffman compression. Like the first embodiment, the correspondence between the bit trains of the compressed data and the plaintext data is represented by a Huffman tree. Hence, the correspondence changing portion 507 is executed to change the Huffman tree in a similar manner to the correspondence changing portion 107 included in the method of the first embodiment. Since the correspondence changing portion 507 uses the same work key and method of changing the Huffman tree as those used in the method of the first embodiment, the changed Huffman tree is the same as that of the first embodiment.

The decompressing portion 508 is executed to perform the Huffman decompression as mentioned above. That is, according to the Huffman tree of the correspondence 515, the bit train of the compressed data is replaced with that of the plaintext data to decompress the compressed data. The decompressing portion 508 is a reverse transform of the compressing portion 108 and uses the same Huffman tree as that of the first embodiment. Hence, the decompressing portion 508 enables to transform the data compressed by the method of the first embodiment back to the original data.

The pre-decrypting portion 509 is a reverse transform of the post-encrypting portion included in the method of the first embodiment. The pre-decrypting portion 509 is executed to decrypt the data with the work key 516 as a parameter. The post-decrypting portion 510 is a reverse transform of the pre-encrypting portion included in the method of the first embodiment. The post-decrypting portion 510 is executed to decrypt the data with the work key 516 as a parameter. As mentioned above, in the second embodiment, the predecryption is a reverse transform of the post-encryption included in the first embodiment, the post-decryption is a reverse transform of the pre-encryption therein, and the same work key as that of the first embodiment is used for the decryption. Hence, the method of the second embodiment enables to decrypt the compressed and encrypted data into the compressed data.

Fig. 6 shows the detail of the operation of the control portion 504. At a step 601, the operation is executed to start the random number reading portion 505 for reading the random number. At a step 602, the key generating portion 506 is started for generating the work key. As a result, the initial value of the work key 516 is set as the same value as the initial value of the work key 116 used in the first embodiment. Then, at a step 603, the operation is executed to read the compressed and encrypted data 512.

At a step 604, the pre-decrypting portion 509 is started for decrypting one block of the compressed and encrypted text. The pre-decrypting portion 509 uses the work key 516 as a parameter. At a step 605, the pre-decrypted result is stored. The pre-decrypting portion 509 is a reverse transform of the post-decrypting portion 110 included in the first embodiment. Hence, the pre-decrypted result has the same value as the value imme-

diately before the post-decryption performed in the first embodiment, that is, the pre-decrypted result. At a step 606, the post-decrypting portion 510 is started to further decrypt the result of the pre-decrypting portion 509. The post-decrypting portion 510 is a reverse transform of the pre-decrypting portion 110 included in the first embodiment. Hence, the post-decrypted result is the same as the value immediately before the pre-decryption performed in the first embodiment, that is, the compressed text of one block obtained by the compressing portion 108.

At a step 607, the decompressing portion 508 is started to decompress one symbol from the head of the compressed text of one block. The decompressing portion 508 is a reverse transform of the compressing portion 108 included in the first embodiment. As mentioned above, the Huffman tree for representing the correspondence between the compressed text and the plaintext is the same as the tree used in the first embodiment. At the step 607, the operation is executed to obtain the value before the compression, that is, the symbol of the plaintext used in the first embodiment. At a step 608, it is determined if the remains of the compressed data of one block are larger than or equal to one symbol of the plaintext. If yes, the operation returns to the step 607 at which the decompression is repeated. If no, the operation returns to the step 609. At this step, the operation is executed to store the remaining data of one block of the compressed text and add it to the head of the next block of the compressed text if the block is obtained.

At the step 609, the correspondence changing portion 507 is started for changing the correspondence 515, that is, the Huffman tree depending on the predecrypted result. The pre-decrypted result is the same as the result pre-encrypted by the first embodiment. The correspondence 515 before change is the same as the correspondence 115 of the first embodiment. Hence, the correspondence 515 is the same as that of the first embodiment even after the correspondence 515 is changed. At a step 610, the work key 516 is replaced with the pre-decrypted result. The pre-decrypted result has the same value as the pre-encrypted result used in the method of the first embodiment. Hence, the work key 516 has the same value as that used in the method of the first embodiment even after it is changed.

At a step 611, it is determined if the overall data of the compressed and encrypted text is processed. If yes, the operation is terminated. If no, the operation goes to a step 612. At this step 612, it is determined if a given number of blocks have been processed. If yes, the operation returns to the step 601 at which the random number is newly read from the compressed and encrypted text 512. If no, the operation returns to the step 604 at which the the next block of the compressed and encrypted data is decrypted. The number of blocks used for the determination at the step 612 is set as the same value as that used in the method of the first embodiment. As a result, the period of updating the ran-

dom number is the same as that used in the method of the first embodiment.

Computer programs for implementing the steps of Fig. 6 may be stored in a recording medium to be loaded in the system.

The foregoing description has been concerned with the second embodiment. As described above, according to the second embodiment, the method has been arranged to decompress and decrypt the data compressed and encrypted by the method of the first embodiment for recovering the original plaintext data. Many of the currently used encryptions are arranged to repeat the fundamental functions for encrypting the plaintext data or repeat the reverse functions of those fundamental functions for decrypting the ciphertext data. The repetitive times of the reverse functions used in the decryption are equal to the repetitive times of the functions used in the encryption. The method of the first embodiment has been arranged to cope with the differential and the linear cryptanalyses without having to increase the rounds (repetitive times of the fundamental functions). Hence, the method of the second embodiment does not need to increase the rounds for the decryption. As described above, the methods of the first and the second embodiments enable to encrypt the data and decrypt it as keeping the high-level encryption without having to increase the processing time.

As is obvious from the foregoing description, the method according to the present invention is arranged to prevent the differential and the linear cryptanalyses without increasing the processing time in the encrypting process and the compressing and encrypting process. This makes it possible to improve the processing performance and the cipher strength to the differential and linear cryptanalyses. The information processing system according to the present invention may include a usually used hardware or software means for allowing down-loading of the programs implementing the steps of Fig. 2 and/or Fig. 6.

#### Claims

- An information processing method (101) comprising the steps of: entering or receiving data; providing as a parameter (116) for encrypting a portion of said data, an intermediate result given in the process of encrypting another portion of said data or a value derived on the intermediate result; and encrypting said data using said parameter.
- 2. An information processing method (101) including the steps of: entering or receiving data; dividing said data into plural blocks, and sequentially encrypting said blocks through said encrypting step; providing as a parameter (116) for encrypting one of said blocks an intermediate result(s) given in the process of encrypting one or more blocks previous to said block or a value(s) derived on the intermediate result(s); and encrypting each of said

blocks using said parameter to encrypt said data.

An information processing method (101) comprising the steps of: entering or receiving data, dividing said data into plural blocks, and encrypting said blocks in parallel through the effect of said encrypting step,

said encrypting step comprising using as a parameter (116) for encrypting one of said blocks at a time point on a processing process a calculated one at said time point of intermediate results given in the process of encrypting one or more blocks rather than said block or a value derived on said calculated intermediate result.

 An information processing method (101) comprising the steps of: entering or receiving data, compressing said data, and encrypting said compressed data,

said data encrypting step comprising determining a correspondence (115) between a bit train of a portion of said data to be compressed and a bit train of a portion of the compressed data depending on an intermediate result given in the process of encrypting another portion of said data.

 An information processing method comprising the steps of: entering or receiving data, compressing said data, encrypting said compressed data, dividing said data into plural blocks, and sequentially compressing and encrypting said blocks through the effect of said compressing step and said encrypting step,

said data encrypting step comprising determining a correspondence (115) between a bit train of a block of said data to be compressed and a bit train of the compressed data on an intermediate result given in the previous encrypting process of one or more compressed and encrypted blocks.

 An information processing method comprising the steps of: entering or receiving data, compressing said data, encrypting said compressed data, dividing said data into plural blocks, and compressing and encrypting said blocks in parallel through the effect of said compressing step and encrypting step.

said encrypting step comprising determining a correspondence (115) between a bit train of a block of the data to be compressed and a bit train of the compressed data on a calculated one at the time point of intermediate results

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given in the previous encrypting process of one or more blocks rather than said target block.

- 7. An encrypting method as claimed in claim 1, wherein the operation at said encrypting step (201 5 to 212) is composed of n processes, wherein m1, m2, ..., mk is an integer of  $1 \le mk \le n$  and the intermediate result in said encryption is an m1-th processed result, an m2-th processed result, ..., an mkth processed result.
- 8. An encrypting method as claimed in claim 2, wherein the operation at said encrypting step (201 to 212) is composed of n processes, wherein m1, m2,... mk is an integer of  $1 \le mk \le n$  and the intermediate result is said encrypting process is an m1th processed result, an m2-th processed result, ..., an mk-th processed result.
- 9. An encrypting method as claimed in claim 3, 20 wherein the operation at said encrypting step (201 to 212) is composed of n processes, wherein m1, m2, ..., mk is an integer of  $1 \le mk \le n$  and the intermediate result in said encrypting process is an m1th processed result, an m2-th processed result, ..., an mk-th processed result.
- 10. An encrypting method as claimed in claim 4, wherein the operation at said encrypting step (201 to 212) is composed of n processes, wherein m1, 30 m2, ..., mk is an integer of  $1 \le mk \le n$  and the intermediate result in said encrypting process is an m1th processed result, an m2-th processed result, ..., an mk-th processed result.
- 11. An encrypting method as claimed in claim 5, wherein the operation at said encrypting is composed of n processes, wherein m1, m2, ..., mk is an integer of  $1 \le mk \le n$  and the intermediate result in said encrypting process is an m1-th processed 40 result, an m2-th processed result, ..., an mk-th processed result.
- 12. An encrypting method as claimed in claim 6, wherein the operation at said encrypting step is 45 composed of n processes, wherein m1, m2, ..., mk is an integer of  $1 \le mk \le n$  and the intermediate result in said encrypting process is an m1-th processed result, an m2-th processed result, ..., an mkth processed result.
- 13. An encrypting method as claimed in claim 1, wherein at a time point on the process, said parameter (116) for encryption or said correspondence (115) between the bit train of said input data and 55 the bit train of said compressed data is changed into a value that does not depend on the intermediate result given in said encrypting process.

14. An encrypting method as claimed in claim 2, wherein at a time point on the process, the parameter (116) for encryption or the correspondence (115) between the bit train of the input data and the bit train of the compressed data is changed into a value that does not depend on the intermediate result given in said encrypting process.

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- 15. An encrypting method as daimed in claim 3, wherein at a time point on the process, the parameter (116) for encryption or the correspondence (115) between the bit train of the input data and the bit train of the compressed data is changed into a value that does not depend on the intermediate result given in said encrypting process.
- 16. An encrypting method as claimed in claim 4, wherein at a time point on the process, the parameter (116) for encryption or the correspondence (115) between the bit train of the input data and the bit train of the compressed data is changed into a value that does not depend on the intermediate result given in said encrypting process.
- 25 17. An encrypting method as claimed in claim 5, wherein at a time point on the process, the parameter (116) for encryption or the correspondence (115) between the bit train of the input data and the bit train of the compressed data is changed into a value that does not depend on the intermediate result given in said encrypting process.
  - 18. An encrypting method as claimed in claim 6, wherein at a time point on the process, the parameter (116) for encryption or the correspondence (115) between the bit train of the input data and the bit train of the compressed data is changed into a value that does not depend on the intermediate result given in said encrypting process.
  - 19. An encrypting method as claimed in claim 7, wherein at a time point on the process, the parameter (116) for encryption or the correspondence (115) between the bit train of the input data and the bit train of the compressed data is changed into a value that does not depend on the intermediate result given in said encrypting process.
  - 20. An information excrypting method (101) comprising: the steps of; entering or receiving data and encrypting said data, said data encrypting step comprising generating a random number (105); and setting said random number or a value derived on said random number as a parameter for encrypting said data (106).
  - 21. An encrypting method as claimed in claim 20, wherein said random number is generated by repetitively performing an encrypting process about an

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initial value.

22. An encrypting method as claimed in claim 20, wherein plural random numbers are generated by repetitively performing the operation of the step for generating said random number and said random numbers are set as parameters for encrypting various portions of said data.

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- 23. An encrypting method as claimed in claim 21, 10 wherein plural random numbers are obtained by repetitively performing the operation of the step for generating the random number and said random numbers are bet as parameters for encrypting various portions of said data.
- 24. An encrypting method as claimed in claim 20, wherein the parameter for encrypting said data or the information required for deriving the parameter is added to the encrypted data.
- 25. An encrypting method as claimed in claim 21, wherein the parameter for encrypting said data or the information required for deriving the parameter is added to the encrypted data.
- 26. An encrypting method as claimed in claim 22, wherein the parameter for encrypting said data or the information required for deriving the parameter is added to the encrypted data.
- 27. An encrypting method as claimed in claim 20, wherein the parameter for encrypting said data or the information required for deriving the parameter is used for decrypting said encrypted data.
- 28. An encrypting method as claimed in claim 21, wherein the parameter for encrypting said data or the information required for deriving the parameter is used for decrypting said encrypted data.
- 29. An encrypting method as claimed in claim 22, wherein the parameter for encrypting said data or the information required for deriving the parameter is used for decrypting said encrypted data.
- 30. An encrypting method as claimed in claim 24, wherein the parameter for encrypting said data or the information required for deriving the parameter is used for decrypting said encrypted data.
- 31. An encrypting method as claimed in claim 27, wherein the operation of encrypting said data is executed by a different computer from the operation of decrypting said data, and the parameter for encrypting said data or the information required for deriving the parameter is communicated from the computer for executing the encryption to the other computer for executing the decryption.

 An information processing apparatus (101) comprising:

means (103) for entering or receiving data; means (109, 110) for encrypting said data; means (116) for storing an intermediate result on the encrypting process given by said encrypting means (109, 110); and means (104) for entering said stored intermediate result or a value that depends on said intermediate result as a parameter to said encrypting means (109, 110).

 An information processing apparatus (101) comprising:

means (103) for entering or receiving data; means (109, 110) for encrypting said data; and means (104) for entering an intermediate result on the encrypting process given by said encrypting means (109) into the other encrypting means (110) as a parameter.

34. An information processing apparatus (101) comprising:

means (103) for entering data; means (108) for compressing said data, and means (109, 110) for encrypting said data; means (115) for storing an intermediate result on the encrypting process given by said encrypting means; and means (104) for entering said stored intermediate result or a value that depends on said intermediate result to said compressing means (108) as a parameter.

 An information processing apparatus (101) comprising:

means (103) for entering or receiving data; means (108) for compressing said data and means (109, 110) for encrypting said data, said compressing means and said encrypting means being provided in plural pairs; and means (104) for entering an intermediate result on the encrypting process given by one of said plural pairs of compressing and encrypting means into the compressing means of the other pair as a parameter.

- 36. An information processing apparatus as claimed in claim 32, further comprising means (107) for changing a value stored in said means (116) for storing the intermediate result on the encrypting process into a value that does not depend on the intermediate result on the encrypting process.
- 37. An information processing apparatus as claimed in

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claim 33, further comprising means (107) for changing a value stored in means (116) for storing said intermediate result on the encrypting process into a value that does not depend on said intermediate result.

- 38. An information processing apparatus as claimed in claim 34, further comprising means (107) for changing a value stored in means (116) for storing said intermediate result on the encrypting process into a value that does not depend on said intermediate result.
- 39. An information processing apparatus as claimed in claim 32, further comprising means (107) for calculating or storing the value that does not depend on the intermediate result on the encrypting process and means (115) for entering the value as a parameter to said encryoting means or compressing means.
- 40. An information processing apparatus as claimed in claim 33, further comprising means (107) for calculating or storing the value that does not depend on the intermediate result on the encrypting process and means (115) for entering said value as a parameter into said encrypting means or compressing means.
- 41. An information processing apparatus as claimed in claim 34, further comprising means (107) for calculating or storing the value that does not depend on the intermediate result on the encrypting process and means (115) for entering said value as a parameter into said encrypting means or compressing means.
- 42. An information processing apparatus as claimed in claim 35, further comprising means (107) for calculating or storing the value that does not depend on the intermediate result on the encrypting process and means (115) for entering said value as a parameter into said encrypting means or compressing means.
- 43. An information encrypting apparatus comprising:

means (103) for entering or receiving data; means (109, 110) for encrypting said data; means (105) for generating a random number; 50 and means (113) for entering said random number of a value that does not depend on said random number into said encrypting means as a

44. An information encrypting apparatus comprising:

parameter.

means (103) for entering or receiving data;

first encrypting means (109, 110) for encrypting said data;

second encrypting means (110) for encrypting a value to be stored;

means (104) for entering an output value of said second encrypting means or a value that does not depend on the output value as a parameter; and

means (117) for storing an output value of said second encrypting means.

- 45. An encrypting apparatus as claimed in claim 43, further comprising means (104) for adding said parameter or information required for calculating said parameter to an output value from said first encrypting means.
- 46. An encrypting apparatus as claimed in claim 44, further comprising means (104) for adding said parameter or information required for calculating said parameter to an output value from said first encrypting means.
- 47. An encrypting apparatus as claimed in claim 43, further comprising means for decrypting data with said parameter or the information required for calculating said parameter.
- 48. An encrypting apparatus as claimed in claim 44, further comprising means for decrypting data with said parameter or the information required for calculating said parameter.
- 49. An encrypting apparatus as claimed in claim 45, further comprising means for decrypting data with said parameter or the information required for calculating said parameter.
- 50. An encrypting apparatus as claimed in claim 44, wherein said first and second encrypting means are located in a different computer from said decrypting means, the computer having said encrypting means includes means for transmitting said parameter or the information required for calculating said parameter, and the other computer having said decrypting means includes means for receiving said parameter or the information required for calculating said parameter.
- 51. An information encrypting method (101) comprising the steps of:

entering or receiving data; encrypting said data; and

using as a parameter for encrypting a portion of said data an intermediate result on the process of encrypting another portion of said or a value that is calculated from said intermediate value.

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52. An information encrypting method (101) comprising the steps of: entering or receiving data; encrypting said data; dividing said data into plural blocks; and sequentially encrypting said blocks through the effect of said encrypting step; and

said data encrypting step comprising using as a parameter for encrypting a block intermediate results of one or more blocks encrypted before said block or a value that is calculated from said intermediate results.

53. An information encrypting method comprising the steps of:

> entering or receiving data; encrypting said data, dividing said data into plural blocks; and encrypting said blocks in parallel through the effect of said encrypting step; and said data encrypting step comprising using as 20 a parameter for encrypting a block at a time point on the process one or more calculated ones at the time point of the intermediate results on the process of encrypting one or more blocks rather than said block or one or more values that are calculated from said calculated intermediate results.

54. An information encrypting method comprising the

entering or receiving data, compressing said data, and encrypting said compressed data; said data encrypting step comprising determining correspondence between a bit train of a 35 portion of the input data to be compressed and a bit train of the compressed data on the intermediate result given in the process of encrypting another portion of said data.

- 55. An information encrypting method comprising the steps of: entering or receiving data, compressing said data, encrypting said compressed data, dividing said data into blocks, and sequentially compressing and encrypting said blocks through the effect of said compressing and encrypting steps. and determining correspondence between a bit train of a block of the input data to be compressed and a bit train of the compressed data on the intermediate result of one or more compressed and 50 encrypted blocks before said subject block.
- 56. An information encrypting method comprising the steps of entering or receiving data, compressing said data, encrypting said compressed data, dividing said data into plural blocks, compressing and encrypting said blocks in parallel through the effect of said compressing and encrypting steps, and establishing correspondence between a bit train of

one block of the input data to be compressed and a bit train of said compressed data at a time point on the process on the basis of the one(s) calculated at that time point, among the intermediate results of one or more encrypted blocks rather than said subject block.

57. A computer readable recording medium for storing programs implementing a data encrypting operation comprising:

> program means for entering or receiving data; program means for providing as a parameter for encrypting a portion of said data, an intermediate result given in the process of encrypting another portion of said data or a value derived on the intermediate result; and program means for encrypting said data using said provided parameter.

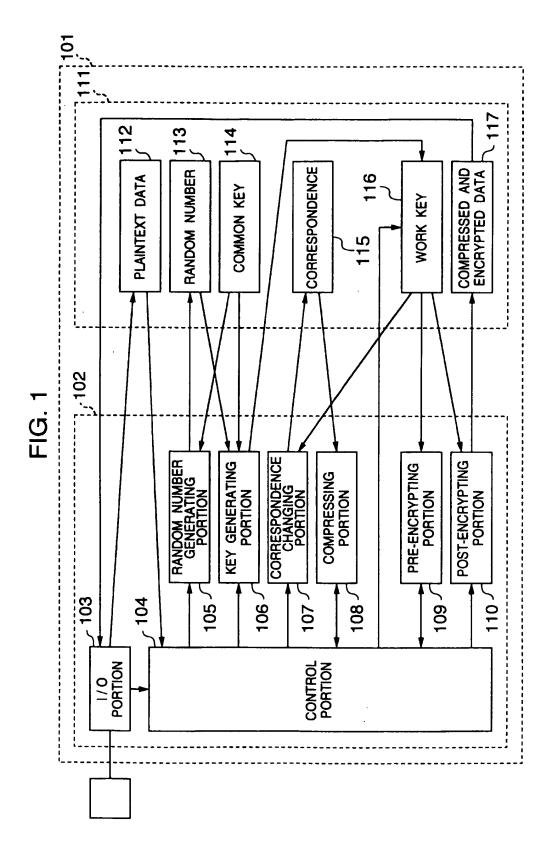
58. A computer readable recording medium for storing programs implementing a data encrypting operation comprising:

> program means for entering data; program means for compressing said data: program means for encrypting said compressed data; program means for storing an intermediate result on the encrypting process given by execution of the encrypting program means; program means for entering said stored intermediate result or value that depends on said intermediate result to said compressing program means as a parameter.

- 59. A data encrypting apparatus comprising a processor, a work memory coupled with said processor, an input and output device operable with the processor and the memory, and a communication interface for communicating with the outside, said interface including means for allowing down-loading of processor readable programs for implementing a data encrypting operation, said programs comprising:
  - a program portion for entering or receiving
  - a program portion for providing as a parameter for encrypting a portion of said data, an intermediate result given in the process of encrypting another portion of said data or a value derived on the intermediate result; and a program portion for encrypting said data using said provided parameter.
- 60. A data encrypting apparatus comprising a processor, a work memory coupled with said processor. an input and output device operable with the proc-

essor and the memory; and a communication interface for communicating with the outside, said interface including means for allowing down-loading of processor readable programs for implementing a data encrypting operation, said programs scomprising:

program means for entering data;
program means for compressing said data;
program means for encrypting said compressed data;
program means for storing an intermediate result on the encrypting process given by execution of the encrypting program means;
program means for entering said stored intermediate result or value that depends on said intermediate result to said compressing program means as a parameter.



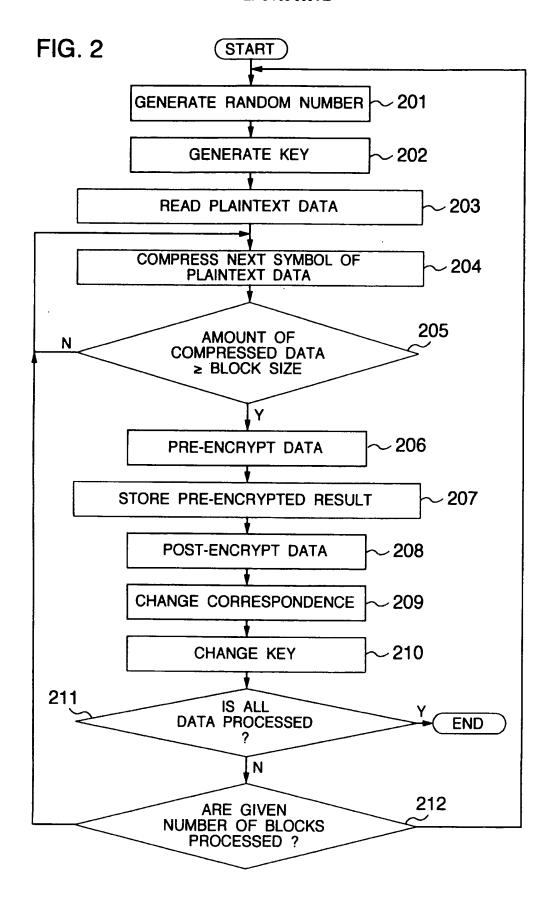
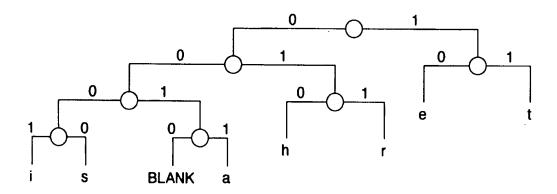
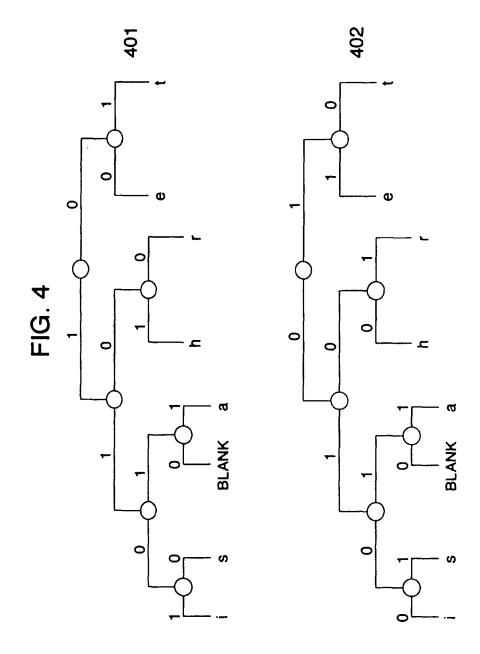
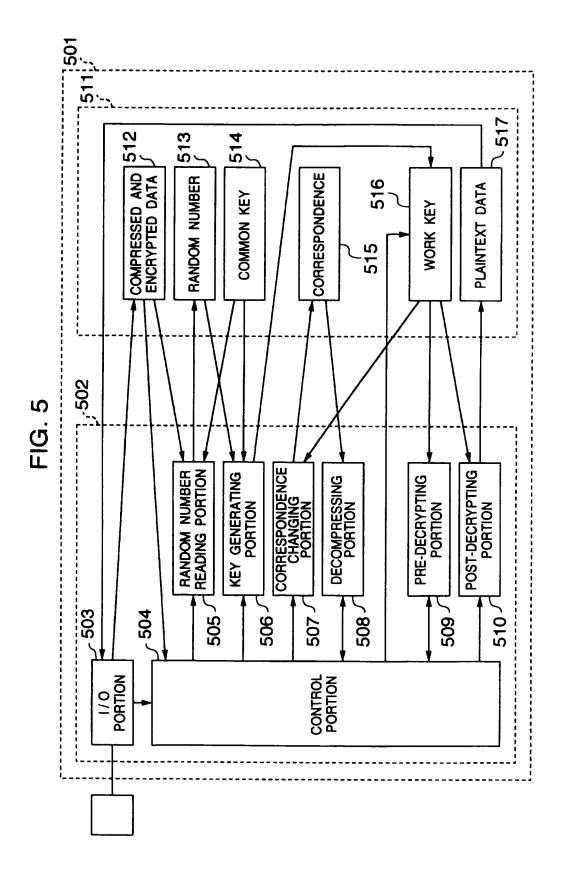
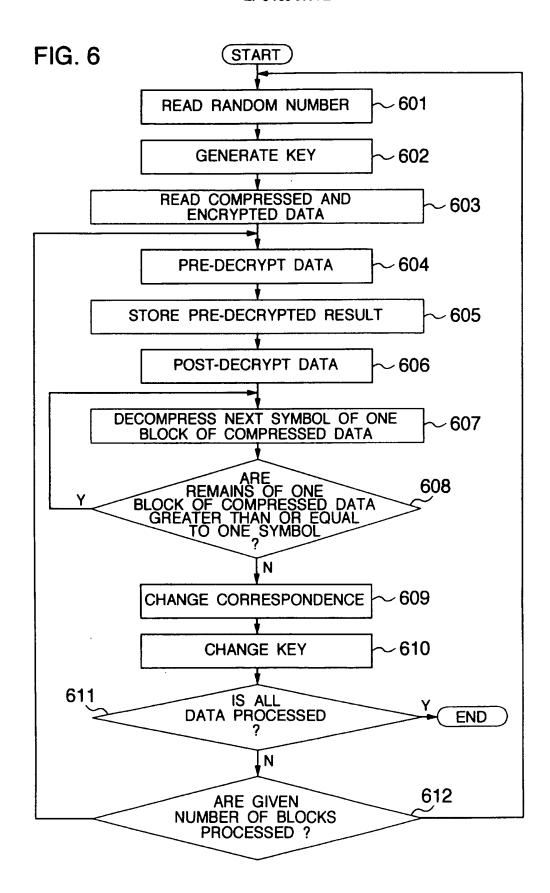


FIG. 3











(11) **EP 0 793 366 A3** 

(12)

#### **EUROPEAN PATENT APPLICATION**

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(72) Inventors:

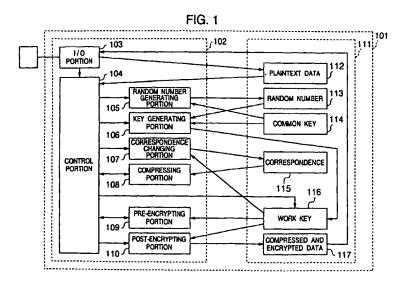
 Yoshiura, Hiroshi Kawasaki-shi (JP)

- Takaragi, Kazuo Ebina-shi (JP)
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- Shimizu, Mayuko Sagamihara-shi (JP)
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#### (54) Method and apparatus for encrypting data

(57) In the process of compressing and encrypting data, without increase of a processing time, a cipher capability is secured against the latest cryptanalysis such as differential and linear cryptanalyses. The differential and linear cryptanalyses are executed to collect plural pair of plaintext and cryptosystem for the same key and perform the statistical operation for estimating the key. An I/O process (102) is executed to receive plaintext data (111) and generate a random number (104). Then, an operation is executed to generate a different key for

each data on the random number (105) and set the key to a work key (115). The encrypted intermediate result or the pre-encrypted result (108) is fed back for frequently changing the work key (115). These series of operations makes it possible to protect the ciphertext from the differential and the linear cryptanalyses. On the work key, the changing operation (106) is executed to change correspondence (114) between the plaintext data and the compressed data in the compressing process (107), for providing the compression with the encryption.



EP 0 793 366 A3



## PARTIAL EUROPEAN SEARCH REPORT

Application Number

which under Rule 45 of the European Patent Convention EP 97 10 3154 shall be considered, for the purposes of subsequent proceedings, as the European search report

	DOCUMENTS CONSI				
Category	Citation of document with of relevant par	indication, where appropriate, ssages	Refevant to claim	CLASSIFICATION OF THE APPLICATION (InLCI.6)	
X 	WO 91 18460 A (TRAUTNER ROLF) 28 November 1991 (1991-11-28)  * page 1, line 1 - page 2, line 9 *		1-3, 7-12,32, 33,44, 46,48, 50,57,59		
	* page 8, line 3 - * page 13, line 13	page 2, line 9 * line 8 * - page 15, line 13 *			
	US 5 479 512 A (WE 26 December 1995 (	1995-12-26)	1,2, 7-12, 32-34, 44,46, 48,50, 57-60		
	* column 1, line 2 * column 5, line 4 * column 6, line 5	3 - line 53 * 9 - line 58 * 3 - column 7, line 7 *	6		
		<del>-</del> /		TECHNICAL FIELDS SEARCHED (Inl.CL6)	
				H04L	
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NCOM	PLETE SEARCH				
ot comply vie carried o	with the EPC to such an extent that ut, or can only be carried out partla ched completely:	application, or one or more of its claims, does a meaningful search into the state of the art or ly, for these claims.	annot		
	he limitation of the search: heet C				
P	tace of search	Date of completion of the search	<del></del>	Examiner	
۲	HE HAGUE	12 December 2002	Lieb	hardt, I	
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EPO FORM 1503 03.82 (P04C07)



# INCOMPLETE SEARCH SHEET C

Application Number EP 97 10 3154

Claim(s) searched completely: 1-19,32-42,44,46,48,57-60

Claim(s) not searched: 51-56

Reason for the limitation of the search:

In view of the large number and also the wording of the claims presently on file, which render it difficult, if not impossible, to determine the matter for which protection is sought, the present application fails to comply with the clarity and conciseness requirements of Article 84 EPC (see also Rule 29(5) EPC) to such an extent that a meaningful search is impossible. In particular claims 51 to 56 lack conciseness and clarity since they are largely overlapping with claims 1 to 6. Consequently, the search has been carried out for those parts of the application which do appear to be clear and concise, namely claims 1 to 50 and 57 to 60.



EPO FORM 1503 03.82 (P04C10)

# PARTIAL EUROPEAN SEARCH REPORT

Application Number

EP 97 10 3154

	DOCUMENTS CONSIDERED TO BE RELEVANT		CLASSIFICATION OF THE APPLICATION (InLCLS)
Category	Citation of document with Indication, where appropriate. of relevant passages	Relevant to daim	
A	US 5 285 497 A (THATCHER JR WILLIAM B) 8 February 1994 (1994-02-08)	1,2, 7-12, 32-34, 44,46, 48,50, 57-60	
	* column 1, line 5 - line 42 * * column 3, line 21 - line 31 *		
			TECHNICAL FIELDS SEARCHED (Int.Cl.6)



Application Number

EP 97 10 3154

CLAIMS INCURRING FEES
The present European patent application comprised at the time of filing more than ten claims.
Only part of the claims have been paid within the prescribed time limit. The present European search report has been drawn up for the first ten claims and for those claims for which claims fees have been paid, namely claim(s):
No claims fees have been paid within the prescribed time limit. The present European search report habeen drawn up for the first ten claims.
LACK OF UNITY OF INVENTION
The Search Division considers that the present European patent application does not comply with the requirements of unity of invention and relates to several inventions or groups of inventions, namely:
see sheet B
All further search fees have been paid within the fixed time limit. The present European search report ha been drawn up for all claims.
As all searchable claims could be searched without effort justifying an additional fee, the Search Division did not invite payment of any additional fee.
Only part of the further search fees have been paid within the fixed time limit. The present European search report has been drawn up for those parts of the European patent application which relate to the inventions in respect of which search fees have been paid, namely dalms:
· · ·
None of the further search fees have been paid within the fixed time Ilmit. The present European search report has been drawn up for those parts of the European patent application which relate to the invention first mentioned in the claims, namely claims:
1-19, 32-42, 44, 46, 48, 50-60



# LACK OF UNITY OF INVENTION SHEET B

Application Number EP 97 10 3154

The Search Division considers that the present European patent application does not comply with the requirements of unity of invention and relates to several inventions or groups of inventions, namely:

1. Claims: 1-19,32-42,44,46,48,50-60

Method and apparatus for encrypting data provided in the form of a plurality of blocks, whereby an intermediate result given in the process of encrypting one or more previous blocks is used as a parameter for encrypting the current block.

2. Claims: 20-31,43,45,47,49

Method and apparatus for encrypting data, whereby a random number is generated and thereafter used as a parameter for encrypting said data.

The problem to be solved by the first invention (claims 1-19, 32-42, 44, 46, 48, 50-60) is to strengthen a conventional symmetric-key cryptosystem against differential and linear cryptanalysis without increasing the processing time. The chained processing of data blocks, whereby an intermediate result given in the process of encrypting one or more previous blocks is used as a parameter for encrypting the current block, is the special technical feature.

The problem to be solved by the second invention (claims 20-31, 43, 45, 47, 49) is to initialise a symmetric-key cryptosystem. The generation of a random number and its use as a parameter for encrypting data is the special technical feature.

It is obvious for the skilled person that the above mentioned features as well as the problems they respectively solve are so different that no technical relationship, based on these features, can be found between the two inventions. The different groups of inventions are not so linked as to form a single general inventive concept. Consequently, the requirement of unity of invention as set forth in Article 82 EPC is not fulfilled.

## ANNEX TO THE EUROPEAN SEARCH REPORT ON EUROPEAN PATENT APPLICATION NO.

EP 97 10 3154

This annex lists the patent family members relating to the patent documents cited in the above-mentioned European search report. The members are as contained in the European Patent Office EDP file on The European Patent Office is in no way liable for these particulars which are merely given for the purpose of information.

12-12-2002

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WO	9118460	Α	28-11-1991	DE WO	4016203 A1 9118460 A1	21-11-1991 28-11-1991
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For more details about this annex : see Official Journal of the European Patent Office, No. 12/82

FORM P0459